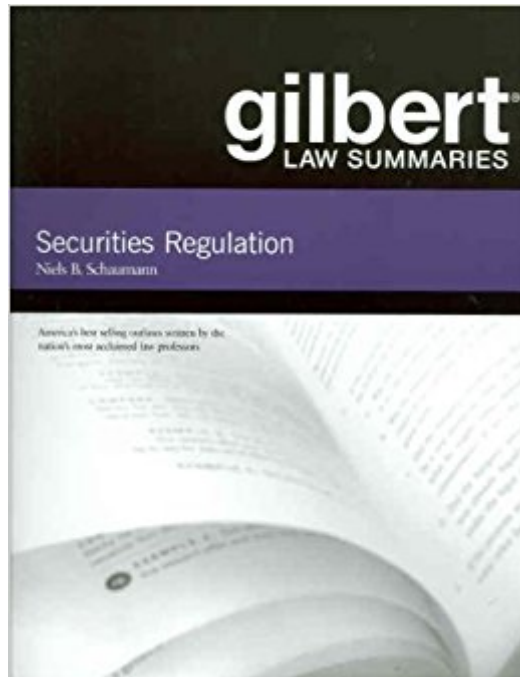


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This product contains an outline on securities regulation. Topics covered include the Securities and Exchange Commission (SEC), jurisdiction and interstate commerce, and the Securities Act of 1933 (including persons and property interests covered, registration statements, exemptions from registration requirements, and liabilities). It also discusses the Securities Exchange Act of 1934 (including rule 10b-5, tender offers and repurchases of stock, regulation of proxy solicitations, liability for short-swing profits on insider transactions, and SEC enforcement actions), regulation of the securities markets, multinational transactions, and the state regulation of securities transactions.

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The book was not bad, however its organization was slightly confusing. If you are stuck on an issue in securities and need some additional clarification on a particular law, then I would recommend this book. It saved me with the 1933 Act and Rule 144A.

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Very complete

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